



Outsourced Aviation Audit and Compliance Monitoring Services



**Subject
Leader**

Nick Laughton

An ex-regulator with more than 30 years' practical aviation maintenance and audit experience, Nick leads our outsourced audit and compliance monitoring services, heading up Aviation Compliance Limited (a wholly owned subsidiary of Baines Simmons Limited).

With his extensive practical aviation maintenance and audit experience gained in both civil and military environments, Nick's considerable technical expertise combined with his comprehensive knowledge of EASA Regulations, Human Factors and Error and Quality Management Systems ensures clients benefit from down-to-earth, practical support with internal airworthiness, compliance and safety compliance audits.

Nick is an accomplished Training Consultant, skilled in facilitating and delivering a wide range of Quality Auditor training courses. He also has practical experience of developing and mentoring newly appointed regulators and writing audit strategies, policies and procedures.

A key requirement for any regulated aviation organisation is for audits and compliance monitoring activities to be conducted by independent competent personnel. These activities must provide assurance and confidence that your management system is effective and should also complement continuous improvement activities by adding business and strategic value. For the function to be successful it must:

- ✓ **Attract and retain skills, especially in areas of specialised aviation safety**
- ✓ **Have a pool of competent professionals with up-to-date knowledge of aviation regulations**
- ✓ **Have impartial people with intimate knowledge of the core business process and systems**

Is your audit and compliance monitoring function really effective?

Effective oversight is a key element in producing indicators of compliance and effectiveness and is fundamental in an organisation's quest to achieve safety and business performance. An effective oversight process will deliver confidence to the Regulator that you are targeting compliance and seeking continuous improvement, therefore aiming for the impending 'operating' and 'effective' requirements of the UK CAA's SMS Evaluation measures PSOE (Present, Suitable, Operating, Effective). Ask yourself these questions to understand if your function is truly effective:

- ▶ Is your ability to resource your oversight schedule hampered by commercial pressures?
- ▶ Would you say that your personnel are competent and fully understand their role?
- ▶ How does your oversight function effectively benchmark against industry competitors?
- ▶ What business benefits are being realised through your current oversight process?
- ▶ What quality and continuous improvement initiatives do your oversight activities deliver?

Benefits of an 'effective' audit programme

Effective auditing assists organisations to understand the maturity of their management system (*Present, Suitable, Operating or Effective*) and is the first step to gaining a competitive business advantage. An effective audit service will therefore:

- ▶ Focus on moving from pure compliance to gaining real business improvement and cost reduction from your management system
- ▶ Provide assurance of all the management functions, including staffing, compliance with applicable regulations, levels of competency and training by getting to root cause
- ▶ Increase Regulator confidence in the organisation and build credibility of the Safety and Quality departments
- ▶ Utilise competent auditors whose outputs build a current risk picture that prepares the organisation for adopting an operating and effective Safety Management System
- ▶ Deliver intelligent audit findings that produce foundations for more effective safety interventions

Benefits of Outsourced Aviation Audit and Compliance Monitoring Services

Whether your need is for a short-term interim solution or a longer-term strategic partnership, Baines Simmons Outsourced Aviation Audit and Compliance Monitoring Services are designed for regulated organisations seeking support with internal airworthiness, compliance and safety compliance audits. We



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partner with clients to seamlessly fill the role of an internal audit and compliance monitoring department, providing expert independent assessments of your management systems, and assuring you of their integrity. Our highly experienced teams take a systems approach to auditing to ensure their recommendations look at the organisation as a whole, identifying potential areas of optimisation that will reap real term benefits.

We have developed world-class audit methodologies and have expertise in specialised areas across a wide spectrum of the industry. Not only do we help our clients recognise compliance issues but we also help them achieve business objectives, strengthen risk management and improve overall transparency. We are able to help direct resources and attention towards those elements that can really make a difference by highlighting through effective auditing the areas of current risk. In summary our service provides:

- ▶ Significant savings on internal audit and compliance monitoring costs
- ▶ Significant value to our clients, as the service offers an independent and systems approach to auditing
- ▶ A focus on findings that support our clients to move beyond pure compliance towards real continuous safety and business improvement
- ▶ The ability to make the auditing and compliance monitoring experience a positive step on the road to improved safety and business performance
- ▶ Skills transfer and knowledge development of internal audit managers
- ▶ A fresh pair of eyes and therefore a more effective way of identifying potential safety hazards and business improvement opportunities
- ▶ A team of highly experienced and qualified systems safety auditors all with real world practical experience working across all key management systems
- ▶ Audit and compliance monitoring services that are flexible and can be contracted and tailored specifically to meet organisational requirements
- ▶ Flexible price options with attractive volume discounts

Next steps

Baines Simmons develops tailored audit and compliance monitoring propositions for our clients based on business needs. Please contact a member of the Baines Simmons business development team so that we can arrange a suitable time to explore your requirements further.

About Baines Simmons

We are world leaders in aviation safety training, consulting and outsourced services and renowned for our professional expertise, practical skills and industry experience in Aviation Regulations, Compliance and Safety Management.

Our vision is to make a real and lasting impact on aviation safety. Acting as trusted advisor to more than 500 aviation organisations and 40 Aviation Authorities around the world, we have led a comprehensive range of safety enhancement programmes and trained more than 100,000 aviation professionals in the last 10 years.

All our consulting services are tailored for our clients' individual needs and situations. Please call us in confidence if you would like to discuss how we may be of assistance.

Contact us

To find out more about how Baines Simmons can help you with your audit needs, please call us on +44 (0)1276 855 412 to speak to one of our Consultants. Alternatively, email us on consultancy@bainessimmons.com or visit: www.bainessimmons.com